# BEFORE THE STATE BOARD OF OPTOMETRY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:		Case No. 2009-125				
		OAH No. L-2010091115				
	Respondent.					

# DECISION AND ORDER

The attached Revised Stipulated Settlement is hereby adopted by the State Board of Optometry, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective on	August 4, 2011	* .
It is so ORDEREDJuly 5, 2011	-	

STIPULATED SETTLEMENT (CC-2009-125)

KAMALA D. HARRIS Attorney General of California GLORIA A. BARRIOS Supervising Deputy Attorney General Langston M. Edwards Deputy Attorney General State Bar No. 237926 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 Telephone: (213) 620-6343 5 6 Facsimile: (213) 897-2804 Attorneys for Complainant BEFORE THE STATE BOARD OF OPTOMETRY 8 DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA 10 In the Matter of the Accusation Against: Case No. CC-2009-125 11 BRENT LEE GIBSON OAH No. L-2010091115 12 [REVISED] STIPULATED Respondent. SETTLEMENT AND DISCIPLINARY 13 ORDER 14 15 16 17 IT IS HEREBY STIPULATED AND AGREED by and between the parties to the aboveentitled proceedings that the following matters are true: 19 20 PARTIES 21 Mona Maggio ("Complainant") is the Executive Officer of the State Board of 22 Optometry ("Board"). Complainant brought this action solely in her official capacity and is 23 represented in this matter by Kamala D. Harris, Attorney General of the State of California, by 24 Langston M. Edwards, Deputy Attorney General. 25 Respondent Brent Lee Gibson ("Respondent") is representing himself in this 26 proceeding and has chosen not to exercise his right to be represented by counsel. 27 28

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3. On or about September 16, 1993, the Board of Optometry issued Optometry
Certificate of Registration No. 10198 to Respondent. The Optometry Certificate of Registration
was in full force and effect at all times relevant to the charges brought in Accusation No. CC2009-125 and will expire on October 31, 2011, unless renewed.

# JURISDICTION

4. Accusation No. CC-2009-125 was filed before the Board and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on August 25, 2010. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. CC-2009-125 is attached as Exhibit A and incorporated herein by reference.

### ADVISEMENT AND WAIVERS

- Respondent has carefully read, and understands the charges and allegations in Accusation No. CC-2009-125. Respondent has also carefully read, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoems to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California.

  Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

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#### CULPABILITY

- 8. Respondent admits the truth of each and every charge and allegation in Accusation No. CC-2009-125.
- Respondent agrees that his Optometry Certificate of Registration is subject to discipline and he agrees to be bound by the Board's Probationary terms as set forth in the Disciplinary Order below.

# CONTINGENCY

- The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 11. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

#### SEVERABILITY CLAUSE

13. Each condition of Probation contained herein is a separate and distinct condition. If any of this Order, or any application thereof, is declared unenforceable in whole, in part, or to any extent, the remainder of this Order and all other applicants thereof, shall not be affected. Each condition of this Order shall separately be valid and enforceable to the fullest extent permitted by law.

14. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

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#### DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Optometrist License No. 10198 issued to Respondent Brent Lee Gibson (Respondent) is revoked. However, the revocation is stayed and Respondent is placed on Probation for three (3) years on the following terms and conditions.

- 1. Obey All Laws Respondent shall obey all laws, whether federal, state, or local. The Respondent shall also obey all regulations governing the practice of optometry in California. Respondent shall notify the Board in writing within three calendar days of any incident resulting in his arrest, or charges filed against, or a citation issued against, Respondent.
- Quarterly Reports Respondent shall submit Quarterly Reports of compliance under penalty of perjury, on forms to be provided, to the Probation monitor assigned by the Board. Omission or falsification in any manner of any information on these reports shall constitute a violation of Probation and shall result in the filling of an accusation and/or a Petition to Revoke Probation against Respondent's Optometrist License. Quarterly Report forms will be provided by the Board. Respondent is responsible for contacting the Board to obtain additional forms if needed. Quarterly Reports are due for each year of Probation and the entire length of Probation as follows:
  - For the period covering January 1<sup>st</sup> through March 31<sup>st</sup>, reports are to be completed and submitted between April 1<sup>st</sup> and April 7<sup>th</sup>.
  - \*For the period covering April 1st through June 30th, reports are to be completed and submitted between July 1st and July 7th.
  - For the period covering July 1<sup>st</sup> through September 30<sup>th</sup>, reports are to be completed and submitted between October 1<sup>st</sup> and October 7<sup>th</sup>.
  - For the period covering October 1<sup>st</sup> through December 31<sup>st</sup>, reports are to be completed and submitted between January 1<sup>st</sup> and January 7<sup>th</sup>.

Failure to submit complete and timely reports shall constitute a violation of Probation.

3. Probation Monitoring Program — Respondent shall comply with requirements of the Board appointed Probation Monitoring Program, and shall, upon reasonable request, report to or appear to a venue as directed.

Respondent shall claim all certified mail issued by the Board, respond to all notices of

reasonable requests timely, and submit Reports, Identification Update Reports or other reports similar in nature, as requested and directed by the Board or its representative.

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Respondent shall provide to the Board the names, physical addresses, mailing addresses,

representatives and the employers, supervisors, managers, and contractors to communicate

regarding the Respondent's work status, performance, and monitoring.

Monitoring includes, but is not limited to, any violation of any Probationary term and condition.

Respondent is encouraged to contact the Board's Probation Program at any time he/she has a question or concern regarding his terms and conditions of Probation.

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Failure to appear for any scheduled meeting or examination, or cooperate with the requirements of the program, including timely submission of requested information, shall constitute a violation of Probation and will result in the filing of an Accusation and/or a Petition to Revoke Probation against Respondent's Optometrist license.

4. Probation Monitoring Costs - All costs incurred for Probation monitoring during the entire Probation shall be paid by the Respondent. The monthly cost may be adjusted

as expenses are reduced or increased. Respondent's failure to comply with all terms and conditions may also cause this amount to be increased.

All payments for costs are to be sent directly to the Board of Optometry and must be received by the date(s) specified (Periods of tolling will not toll the Probation monitoring costs incurred).

If Respondent is unable to submit costs for any month, he shall be required, instead, to submit an explanation of why he is unable to submit the costs, and the date(s) he will be able to submit the costs, including payment amount(s). Supporting documentation and evidence of why the Respondent is unable to make such payment(s) must accompany this submission.

Respondent understands that failure to submit costs timely is a violation of Probation and submission of evidence demonstrating financial hardship does not preclude the Board from pursuing further disciplinary action. However, Respondent understands that by providing evidence and supporting documentation of financial hardship it may delay further Disciplinary Action.

In addition to any other Disciplinary Action taken by the Board, an unrestricted license will not be issued at the end of the Probationary period and the Optometrist license will not be renewed, until such time as all Probation Monitoring Costs have been paid. The filing of bankruptcy by the Respondent shall not relieve the Respondent of his responsibility to reimburse the Board for costs incurred.

- 5. Function as an Optometrist Respondent shall function as an Optometrist for a minimum of 60 hours per month for the entire term of his Probation period.
- 6. Notice to Employer Respondent shall provide to the board the names, physical addresses, mailing addresses, and telephone number of all employers and supervisors and shall give specific, written consent that the Respondent authorizes the board and the

 employers and supervisors to communicate regarding the Respondent's work status, performance and monitoring.

Respondent shall be required to inform his employer, and each subsequent employer during the Probation period, of the discipline imposed by this decision by providing his supervisor and director and all subsequent supervisors and directors with a copy of the Decision and Order, and the Accusation in this matter prior to the beginning of or returning to employment or within 14 days from each change in a supervisor or director.

The employer will then inform the Board, in writing, that he is aware of the Discipline, on forms to be provided to the Respondent. Respondent is responsible for contacting the Board to obtain additional forms if needed.

- 7. Notice to Patients During the period of Probation, Respondent shall post a notice in a prominent place in his office that is conspicuous and readable to the public. The notice shall state the Respondent's Optometrist license is on Probation and shall contain the telephone number of the State Board of Optometry. Respondent shall also post a notice containing this information prominently on any website related to his Practice of Optometry. The above-described notices shall be approved by the Board within 30 days of the effective date of this decision.
- 8. Changes of Employment or Residence Respondent shall notify the Board, and appointed Probation Monitor, in writing, of any and all changes of employment, location, and address within 14 days of such change. This includes, but is not limited to, applying for employment, termination or resignation from employment, change in employment status, and change in supervisors, administrators or directors.

Respondent shall also notify his Probation Monitor AND the Board IN WRITING of any changes of residence or mailing address within 14 days. P.O. boxes are accepted for mailing

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purposes; however the Respondent must also provide his physical residence address as well-

9. Cost Recovery – Respondent shall pay to the Board a sum not to exceed the costs of the investigation and prosecution of this case. That sum shall be \$5,700.00 and shall be paid in full directly to the Board, in a Board approved payment plan, within 6 months from the end of the Probation term. Cost recovery will not be tolled.

If Respondent is unable to submit costs timely, he shall be required instead to submit an explanation of why he is unable to submit these costs in part or in entirety, and the date(s) he will be able to submit the costs, including payment amount(s). Supporting documentation and evidence of why the Respondent is unable to make such payment(s) must accompany this submission.

Respondent understands that failure to submit costs timely is a Violation of Probation and submission of evidence demonstrating financial hardship does not preclude the Board from pursuing further disciplinary action. However, Respondent understands that by providing evidence and supporting documentation of financial hardship may delay further Disciplinary Action.

Consideration to financial hardship will not be given should Respondent violate this term and condition, unless an unexpected AND unavoidable hardship is established from the date of this order to the date payment(s) is due. The filing of bankruptcy by the Respondent shall not relieve the Respondent of his responsibility to reimburse the Board for these costs.

10. Take and Pass Licensure Examination(s) — Respondent shall take and pass the California Laws and Regulations Examination (CLRE). Respondent shall pay the established examination fees. If Respondent has not taken and passed the examination within twelve months from the effective date of this decision, Respondent shall be considered to be in Violation of Probation.

- 11. Community Service Within 30 days of the effective date of this Decision,
  Respondent shall submit to the Board, for its prior approval, a community service program in
  which Respondent provides free professional services on a regular basis to a community of
  charitable facility or agency, amounting to a minimum of 8 hours per month of Probation.
  Such services shall begin and end within the time period as designated by the Board.
- 12. Valid License Status Respondent shall maintain a current, active and valid license for the entire length of the Probation period. Failure to pay all fees and meet CE requirements prior to his license expiration date shall constitute a Violation of Probation.
- Tolling for Out-of-State Residence or Practice Periods of residency or practice outside California, whether the periods of residency or practice are temporary or permanent, will toll the Probation period but will not toll the Cost Recovery requirement, nor the Probation Monitoring Costs incurred. Travel out of California for more than 30 days must be reported to the Board in writing prior to departure. Respondent shall notify the Board, in writing, within 14 days, upon his return to California and prior to the commencement of any employment where representation as an Optometrist is/was provided.

Respondent's license shall be automatically cancelled if Respondent's periods of temporary or permanent residence or practice outside California total two years. However, Respondent's license shall not be cancelled as long as Respondent is residing and practicing in another state of the United States and is on active Probation with the licensing authority of that state, in which case the two year period shall begin on the date Probation is completed or terminated in that state.

14. License Surrender - During Respondent's term of Probation, if he ceases practicing due to retirement, health reasons, or is otherwise unable to satisfy the condition of Probation, Respondent may surrender his license to the Board. The Board reserves the right to

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 evaluate Respondent's request and exercise its discretion whether to grant the request or to take any other action deemed appropriate and reasonable under the circumstances, without further hearing. Upon formal acceptance of the tendered license and wall certificate,

Respondent will no longer be subject to the conditions of Probation. All costs incurred (i.e.,

Cost Recovery and Probation Monitoring) are due upon Reinstatement.

Surrender of Respondent's license shall be considered a Disciplinary action and shall become a part of Respondent's license history with the Board.

- Violation of Probation If Respondent violates any term of the Probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke Probation and carry out the Disciplinary Order that was stayed. If a Petition to Revoke Probation is filed against Respondent during Probation, the Board shall have continuing jurisdiction and the period of Probation shall be extended until the matter is final. No petition for modification of penalty shall be considered while there is an accusation or petition to Revoke Probation or other penalty pending against Respondent.
- 16. Completion of Probation Upon successful completion of Probation,

  Respondent's license shall be fully restored. Respondent may Petition for Early Termination of

  Probation after one (I) year.
- 17. Abstention from Use of Mood Altering Substances Respondent shall completely abstain from the possession or use of alcohol, any and all other mood altering drugs, substances and their associated paraphernalia, except when the drugs are lawfully prescribed by a licensed practitioner as part of a documented medical treatment.

Respondent shall execute a release anthorizing the release of pharmacy and prescribing records as well as physical and mental health medical records. Respondent shall also provide information of treating physicians, counselors or any other treating professional as requested

by the Board.

Respondent shall ensure that he is not in the presence of or in the same physical location as individuals, who are using illegal substances, even if Respondent is not personally ingesting the drug(s). Any positive result that registers over the established laboratory cutoff level shall constitute a Violation of Probation and shall result in the filing of an Accusation and/or a Petition to Revoke Probation against Respondent's Optometrist license. Respondent also understands and agrees that any positive result that registers over the established laboratory cutoff level shall be reported to each of Respondent's employers.

18: Biological Fluid Testing – Respondent, at his expense, shall immediately participate in random testing, including but not limited to biological fluid testing (i.e. urine, blood, saliva), breathalyzer, hair follicle testing, or any drug screening program approved by the Board. The length of time shall be for the entire Probation period. The Respondent will be randomly drug tested at the frequency outlined by the Department of Consumer Affairs Uniform Standards for Substance Abuse #4.

Respondent shall be required to make daily contact to determine if he is required to submit a specimen for testing, including weekends and holidays, at a lab approved by the Board.

Board representatives may also appear unannounced, at any time to collect a specimen. All collections will be observed.

At all times Respondent shall fully cooperate with the Board or any of its representatives, and shall, when directed, appear for testing as requested and submit to such tests and samples for the detection of alcohol, narcotics, hypnotic, dangerous drugs or other controlled substances. All alternative testing sites, due to vacation or travel outside of California, must be approved by the Board prior to the vacation or travel.

If Respondent is unable to provide a specimen in a reasonable amount of time from the

request, Respondent understands that, while at the work site, any Board representative may request from the supervisor, manager or director on duty to observe Respondent in a manner that does not interrupt or jeopardize patient care in any manner until such time Respondent provides a specimen acceptable to the Board.

If Respondent tests positive for a prohibited substance per his Probationary order,
Respondent's license shall be automatically suspended. The Board will contact the
Respondent and his employers, supervisors, managers, work site monitors, and contractors and
notify them that Respondent's license has been suspended as a result of a positive test.

Thereafter, the Board may contact the specimen collector, laboratory, Respondent, treating
physician, treatment provider and support group facilitators to determine whether the positive
test is in fact evidence of prohibited use. If the Board determines the positive test is not
evidence of prohibited use, the Board shall immediately reactivate the license and inform the
Respondent and others previously contacted, that the license is no longer suspended.

Failure to submit to testing on the day requested, or appear as requested by any Board representative for testing, as directed, shall constitute a violation of Probation and shall result in the filing of an Accusation and/or a Petition to Revoke Probation against Respondent's Optometrist license.

- 19. Participate in Group Support Meetings Respondent shall attend at least one (1), but no more than five (5), 12-step recovery meetings or equivalent (e.g., Narcotics Anonymous, Alcoholics Anonymous, etc.) during each week of Probation, as approved or directed by the Board. Respondent shall submit dated and signed documentation confirming such attendance to the Board during the entire period of Probation.
- 20. Alcohol And Drug Treatment —Respondent, at his expense shall successfully complete a treatment regime at a recognized and established program in California of at least

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six months duration and approved by the Board. The treatment program shall be successfully completed within the first nine months of Probation. The program director, psychiatrist or psychologist shall confirm that Respondent has complied with the requirement of this decision and shall notify the Board immediately if he/she believes the Respondent cannot safely practice. Respondent shall execute a release authorizing divulgence of this information to the Board. Respondent shall inform the program director, psychiatrist or psychologist of his Probationary status with the Board, and shall cause that individual to submit monthly reports to the Board providing information concerning Respondent's progress and prognosis. Such reports shall include results of Biological Fluid Testing. Positive results shall be reported immediately to the Board and shall be used in Administrative Discipline. Employment Limitations - Respondent shall not work in any health care 21. setting as a supervisor of Optometrists. The Board may additionally restrict Respondent from supervising technicians and/or unlicensed assistive personnel on a case-by-case basis. Respondent shall not work as a faculty member in an approved School of Optometry or as an instructor in a Board approved continuing education program. Respondent shall work only on a regularly assigned, identified and predetermined worksite(s) and shall not work in a float capacity.

#### **ACCEPTANCE**

I have carefully read the Stipulated Settlement and Disciplinary Order. I understand the stipulation and the effect it will have on my Optometry Certificate of Registration. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board.

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BRENT LEE GIBSON

Respondent

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# ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board.

Dated: May 13, 2011

Respectfully submitted,

KAMALA D. HARRIS Attorney General of California

GLORIA A. BARRIOS Supervising Deputy Attorney General

ILANGSTON M. EDWARDS Deputy Attorney General Attorneys for Complainant

KAMALA D. HARRIS Attorney General of California GLORIA A. BARRIOS Supervising Deputy Attorney General LANGSTON M. EDWARDS Deputy Attorney General State Bar No. 237926 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 5 Telephone: (213) 620-6343 6 Facsimile: (213) 897-2804 Attorneys for Complainant BEFORE THE STATE BOARD OF OPTOMETRY 8 DEPARTMENT OF CONSUMER AFFAIRS 9 STATE OF CALIFORNIA 10 Case No. CC-2009-125 11 In the Matter of the Accusation Against: OAH No. L-2010091115 12 BRENT LEE GIBSON PETITION FOR RECONSIDERATION 13 Respondent. (Government Code section 11521(a)) 14 15 16 Mona Maggio (Petitioner), solely in her official capacity as the Executive Officer of the 17 State Board of Optometry (Board), pursuant to Government Code section 11521(a), respectfully petitions for reconsideration of the Board's Decision in this case dated April 20, 2011, which 18 shall become effective May 20, 2011. The grounds for this petition are that the Stipulated 19 Decision and Order does not provide adequate notice of the disciplinary action being taken in this 20 matter with respect to the Brent Lee Gibson's (Respondent) Board of Optometry Certificate of 21 Registration No. 10198 and for other reasons as set forth below. 22 23 I. PROCEDURAL BACKGROUND 24 25 On or about September 16, 1993, the Board of Optometry issued Optometry Certificate of Registration No. 10198 to Respondent. The Optometry Certificate of Registration was in full 26 force and effect at all times relevant to the charges brought in Accusation No. CC-2009-125 and .27 will expire on October 31, 2011, unless renewed. 28

Accusation No. CC-2009-125 and all other statutorily required documents were properly served on Respondent on August 25, 2010. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. CC-2009-125 is attached as Exhibit A and incorporated herein by reference.

On or around September 9, 2010, the Board informed its counsel that any settlement terms with the Respondent must include a term revoking Respondent's license, which would be stayed pending three (3) years of probation with terms and conditions to be determined in accordance with the Board's Disciplinary Guidelines. [Declaration of Langston M. Edwards (Edwards Decl.) at ¶2] Then, later that day on or around September 9, 2010, the Board's counsel informed the Respondent that terms of Respondent's probation would include a term revoking Respondent's license, which would be stayed pending three years of probation with terms and conditions to be determined in accordance with the Board's Disciplinary Guidelines. (Edwards Decl. at ¶3).

On or around March 14, 2011, Respondent reviewed and accepted the terms of the Stipulated Settlement and Disciplinary Order (Settlement) wherein, Respondent voluntarily and knowingly agreed to be bound by the Decision and Order of the Board. A copy of the Settlement and Disciplinary Order is attached as **Exhibit B** and incorporated herein by reference.

Although the Respondent was made aware throughout settlement discussions that terms of probation would include revoking Respondent's license (stayed pending 3 years of probation), that specific provision was inadvertently omitted from the final executed Settlement. Also omitted inadvertently was a severability clause which would permit each term of the Settlement to be independently enforceable.

On or around April 20, 2011, the Board adopted the Settlement in its Decision and Order (Decision), which "shall become effective May 20, 2011." The Settlement adopted by the Board does not include the Disciplinary provision of the Severability Clause referenced above. Petitioner now requests that the Board grant the Petition for Reconsideration and modify the Settlement to include the above-reference provisions. This will enable the Board to take Disciplinary action against the Respondent's license, provide adequate notice to the Respondent relating the nature and duration of license discipline status and ensure the enforceability of the provisions therein.

# II. RECONSIDERATION IS PERMISSIBLE PURSUANT TO GOVT. CODE \$11521 (a)

Govt. Code §11521 subdivision (a) states, in pertinent part, "[t]he agency may order a reconsideration of all or part of the case on its own motion or on petition of any party." The power to order reconsideration "shall expire 30 days after the delivery or mailing of a decision to respondent."

In this case, the Petitioner properly moves for reconsideration on its own motion. Because the Decision and Order was only recently delivered to Respondent by certified and regular mail on or around April 20, 2011, the power to order reconsideration has not yet expired and the Board maintains the power to make such orders. The Petitioner in this case requests reconsideration the Decision and Order in order to permit the inclusion of the inadvertently omitted disciplinary provision and the severability clause.

### III. DISCIPLINARY PROVISION

Stipulated Settlement and Disciplinary Orders in matters such as the one now before the Board include a provision setting forth the nature of the Disciplinary Action being taken with respect to a Respondent's license and the duration of the Respondent's required compliance with Board's probationary terms and conditions. Such provisions are ordinarily set established using the following language:

'IT IS HEREBY ORDERED that [license number] issued to [Respondent] is revoked. However, the revocation is stayed and [Respondent] is placed on probation for [number] years on the following terms and conditions.'

These provisions are necessary, if not essential, for the enforceability of any Disciplinary Action taken by the Board against a Respondent's license. The absence of such a provision renders action against a licensee unenforceable, and the public remains at risk of harm of the violative conduct.

In this case, the Disciplinary provision was inadvertently omitted from the final
Settlement. Petitioner requests that the current Decision be reconsidered and the Board grant
Petitioner's request to modify the Settlement to include the Disciplinary provision as set forth on
p. 4, lines 6-8 of the revised Settlement, attached hereto as Exhibit C.

## IV. SEVERABILITY CLAUSE

Stipulated Settlement and Disciplinary Orders also include a Severability Clause which allows for the terms of the Settlement to be independent of one another, so that if a term is deemed unenforceable by a court, the Settlement as a whole will not be deemed unenforceable. The Severability Clause is required for all Decisions and Stipulated Settlements where there are conditions of probation, in order to avoid the possibility of all probation conditions being repealed upon appeal. Such provisions are ordinarily established using the following language:

Each condition of probation is a separate and distinct condition. If any of the Order, or any application thereof, is declared unenforceable in whole, in part, or to any extent, the remainder of the Order and all other applicants thereof, shall not be affected. Each condition of this Order shall separately be valid and enforceable to the fullest extent permitted by law.

In this case, the Severability Clause was inadvertently omitted from the final Settlement.

Petitioner requests that the current Decision be reconsidered and the Board grant Petitioner's request to modify the Settlement to include the Severability Clause as set forth on p. 3, lines 22 - 26 and p. 4, lines 1-3 of the Revised Settlement, attached hereto as Exhibit C.

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#### V: ADDITIONAL TERMS SUBJECT TO RECONSIDERATION

In addition to the provisions referenced above, Petitioner requests that the Board grant reconsideration of the Settlement by permitting the addition and/or modification of the following conditions:

Removed from Condition #3 [Probation Monitoring Program]:

"Board agreement: No work site monitor required, but Respondent must inform employer that he's on probation. He shall provide to the board the names, physical addresses, mailing addresses, and telephone numbers of all employers and supervisors and shall give specific, written consent that the licensee authorizes the Board and the employers and supervisors to communicate regarding the licensee's work status, performance, and monitoring. Reports are required from contract employers where Respondent works 24 hours per week or more, when requested by the Board."

Respondent MUST designate 1 office as a primary place of practice. He must send the Board a Statement of Licensure (SOL) for <u>each</u> office where he works regularly (recurring employment). Exception: If Respondent is performing a <u>one-time</u>, fill-in position as an OD then Respondent does not need to provide a SOL. (Statement of Licensure form attached as Exhibit B)."

- Removed from Condition #4 [Probation Monitoring Costs]:
   "Board agreement: Probation monitoring costs are \$100 per month."
- Replace Condition #5 [Function as an Optometrist] with the following: "Respondent shall function as an Optometrist for a minimum of 60 hours per month for the entire term of his Probation period."
- Removed from Condition #6 [Notice to Employer]: "All reports completed by the employer must be submitted from the employer directly to the Board.

Board agreement: In light of Respondent's completion of drug rehabilitation program, this condition is limited to employers for whom Respondent works 24 hours a week or more. In order for this condition to become effective, Respondent must provide a Certificate of Completion or a letter from a program on their letterhead with an original signature (no copies)."

• Removed from Condition #10 [Take and Pass Licensure Examination]:

"Respondent shall take and pass the licensure exam(s) currently required of new applicants for the license possessed by Respondent. Respondent shall not practice until such time as respondent has taken and passed these examinations. Respondent shall pay the established examination fees. If respondent has not taken and passed the examination within twelve months from the effective date of this decision, respondent shall be considered to be in violation of probation.

 Board agreement: Respondent will only have to take the California Laws and Regulations Examination (CLRE)."

Replace Condition #18 [Biological Fluid Testing] with the following:

"Respondent, at his expense, shall immediately participate in random testing, including but not limited to biological fluid testing (i.e. urine, blood, saliva), breathalyzer, hair follicle testing, or any drug screening program approved by the Board. The length of time shall be for the entire probation period. The Respondent will be randomly drug tested at the frequency outlined by the Department of Consumer Affairs Uniform Standards for Substance Abuse #4.

Respondent shall be required to make daily contact to determine if he is required to submit a specimen for testing, including weekends and holidays, at a lab approved by the Board. Board representatives may also appear unannounced, at any time to collect a specimen. All collections will be observed.

At all times Respondent shall fully cooperate with the Board or any of its representatives, and shall, when directed, appear for testing as requested and submit to such tests and samples for the detection of alcohol, narcotics, hypnotic, dangerous drugs or other controlled substances. All alternative testing sites, due to vacation or travel outside of California, must be approved by the Board prior to the vacation or travel.

If Respondent is unable to provide a specimen in a reasonable amount of time from the request, Respondent understands that, while at the work site, any Board representative may request from the supervisor, manager or director on duty to observe Respondent in a manner that does not interrupt or jeopardize patient care in any manner until such time Respondent provides a specimen acceptable to the Board.

If Respondent tests positive for a prohibited substance per his probationary order, Respondent's license shall be automatically suspended. The Board will contact the Respondent and his employers, supervisors, managers, work site monitors, and contractors and notify them that Respondent's license has been suspended as a result of a positive test. Thereafter, the Board may contact the specimen collector, laboratory, Respondent, treating physician, treatment provider and support group facilitators to determine whether the positive test is in fact evidence of prohibited use. If the Board determines the positive test is not evidence of prohibited use, the Board shall immediately reactivate the license and inform the Respondent and others previously contacted, that the license is no longer suspended.

Failure to submit to testing on the day requested, or appear as requested by any Board representative for testing, as directed, shall constitute a violation of probation and shall result in the filing of an accusation and/or a petition to revoke probation against Respondent's optometrist license."

• Remove from Condition #20: "SPECIALTY CONDITIONS (This condition is dependent upon the violation committed)"

2 3	<ul> <li>Add Condition #21 [Employment Limitations]:         "Respondent shall not work in any health care setting as a supervisor of optometrists. The         Board may additionally restrict Respondent from supervising technicians and/or unlicensed         assistive personnel on a case-by-case basis.</li> </ul>
4 5	Respondent shall not work as a faculty member in an approved school of optometry or as an instructor in a Board approved continuing education program.
б	Respondent shall work only on a regularly assigned, identified and predetermined worksite(s) and shall not work in a float capacity."
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8	<u>CONCLUSION</u>
9:	Based on the foregoing, Petitioner requests that the Board grant the Petition for
10	Reconsideration and permit modification of the current Decision and Order to include the
1.1	Disciplinary Provision, Severability Clause, and the additional changes described in section V
12	above.
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	Dated: May 4, 2011 Respectfully Submitted,
15	Kamala D. Harris Attomey General of California
16	GLORIA-A. BARRIOS
17	Deputy Attorney General
18	$\int \Lambda_{\rm m} / \gamma / \gamma$
19	LANGSTON M. EDWARDS
:20	Deputy Attorney General  Attorneys for Complainant
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# BEFORE THE BOARD OF OPTOMETRY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:	Agency Case No. CC 2009-12
)	OAH No. L-2010091115
BRENT LEE GIBSON, O.D. ) 21616 Califa St. #308 ) Woodland Hills, CA 91367 )	
Certificate of Registration No. OPT 10198	
Respondent. )	
DECISION and O	<u>rder</u>
The attached Stipulated Decision and Disciplinary Board of Optometry, Department of Consumer Afficentitled matter.	
This Decision shall become effective	44
It is so ORDERED	
Tual bases	
LEE A. GOLDSTEIN, O.D. MPA PRESIDENT	
BOARD OF OPTOMETRY	

KAMALA D. HARRIS Attorney General of California GLORIA A. BARRIOS Supervising Deputy Attorney General Langston M. Ēdwards Deputy Attorney General State Bar No. 237926 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 5 Telephone: (213) 620-6343 Facsimile: (213) 897-2804 Attorneys for Complainant : BEFORE THE . STATE BOARD OF OPTOMETRY 8 DEPARTMENT OF CONSUMER AFFAIRS 9 STATE OF CALIFORNIA 10 Case No. CC-2009-125 In the Matter of the Accusation Against: .11 BRENT LEE GIBSON OAH No. L-2010091115 12 STIPULATED SETTLEMENT AND Respondent. DISCIPLINARY ORDER 13 14 15 16 IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-17 entitled proceedings that the following matters are true: 18 19 PARTIES 20 Mona Maggio ("Complainant") is the Executive Officer of the State Board of 21 Optometry ("Board"). Complainant brought this action solely in her official capacity and is 22 represented in this matter by Kamala D. Harris, Attorney General of the State of California, by 23 Langston M. Edwards, Deputy Attorney General. 24 Respondent Brent Lee Gibson ("Respondent") is representing himself in this 25 proceeding and has chosen not to exercise his right to be represented by counsel. 26 On or about September 16, 1993, the Board of Optometry issued Optometry 27 Certificate of Registration No. 10198 to Respondent. The Optometry Certificate of Registration

was in full-force and effect at all times relevant to the charges brought in Accusation No. CC-2009-125 and will expire on October 31, 2011, unless renewed.

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JURISDICTION

4. Accusation No. CC-2009-125 was filed before the Board and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on August 25, 2010. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. CC-2009-125 is attached as Exhibit A and incorporated herein by reference.

ADVISEMENT AND WATVERS

- Respondent has carefully read, and understands the charges and allegations in
   Accusation No. CC-2009-125. Respondent has also carefully read, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

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#### CULPABILITY

- 8. Respondent admits the truth of each and every charge and allegation in Accusation No. CG-2009-125.
- 9. Respondent agrees that his Optometry Certificate of Registration is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

## CONTINGENCY

- 10. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 11. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- 12. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

#### DISCIPLINARY ORDER

1. Obey all laws – Respondent shall obey all laws, whether federal, state, or local.

The Respondent shall also obey all regulations governing the practice of optometry in California.

Respondent shall notify the Board in writing within three days of any incident resulting in his arrest, or charges filed against, or a citation issued against, Respondent.

- Quarterly reports Respondent shall file quarterly reports of compliance under penalty of perjury, on forms to be provided, to the probation monitor assigned by the Board.

  Omission or falsification in any manner of any information on these reports shall constitute a violation of probation and shall result in the filing of an accusation and/or a petition to revoke probation against Respondent's optometrist license. Quarterly report forms will be provided by the Board. Respondent is responsible for contacting the Board to obtain additional forms if needed. Quarterly reports are due for each year of probation and the entire length of probation as follows:
  - For the period covering January 1<sup>st</sup> through March 31<sup>st</sup>, reports are to be completed and submitted between April 1<sup>st</sup> and April 7<sup>th</sup>.
  - For the period covering April 1<sup>st</sup> through June 30<sup>th</sup>, reports are to be completed and submitted between July 1<sup>st</sup> and July 7<sup>th</sup>.
  - For the period covering July 1<sup>st</sup> through September 30<sup>th</sup>, reports are to be completed and submitted between October 1<sup>st</sup> and October 7<sup>th</sup>.
  - For the period covering October 1<sup>st</sup> through December 31<sup>st</sup>, reports are to be completed and submitted between January 1<sup>st</sup> and January 7<sup>th</sup>.

Failure to submit complete and timely reports shall constitute a violation of probation.

3. **Probation Monitoring Program** — Respondent shall comply with requirements of the Board appointed probation monitoring program, and shall, upon reasonable request, report to or appear to a venue as directed.

Respondent shall claim all certified mail issued by the Board, respond to all notices of reasonable requests timely, and submit Reports, Identification Update Reports or other reports similar in nature, as requested and directed by the Board or its representative.

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Respondent shall provide to the Board the names, physical addresses, mailing addresses, telephone numbers, and e-mail addresses of all employers, supervisors, managers, and contractors and shall give specific, written consent that the Respondent authorizes the Board and its representatives and the employers, supervisors, managers, and contractors to communicate regarding the Respondent's work status, performance, and monitoring.

Monitoring includes, but is not limited to, any violation of any probationary term and condition.

Respondent is encouraged to contact the Board's Probation Program at any time he/she has a question or concern regarding his terms and conditions of probation.

Failure to appear for any scheduled meeting or examination, or cooperate with the requirements of the program, including timely submission of requested information, shall constitute a violation of probation and will result in the filing of an accusation and/or a petition to revoke probation against Respondent's Optometrist license.

Board agreement: No work site monitor required, but Respondent must inform employer that he's on probation. He shall provide to the board the names, physical addresses, mailing addresses, and telephone numbers of all employers and supervisors and shall give specific, written consent that the licensee authorizes the Board and the employers and supervisors to communicate regarding the licensee's work status, performance, and monitoring. Reports are required from contract employers where Respondent works 24 hours per week or more, when requested by the Board.

Respondent MUST designate 1 office as a primary place of practice. He must send the Board a Statement of Licensure (SOL) for <u>each</u> office where he works regularly (recurring employment), Exception: If Respondent is performing a <u>one-time</u>, fill-in position as an OD then Respondent does not need to provide a SOL. (Statement of Licensure form attached as Exhibit B)

4. Probation Monitoring Costs — All costs incurred for probation monitoring during the entire probation shall be paid by the Respondent: The monthly cost may be adjusted as expenses are reduced or increased. Respondent's failure to comply with all terms and conditions may also cause this amount to be increased.

All payments for costs are to be sent directly to the Board of Optometry and must be received by the date(s) specified. (Periods of tolling will not toll the probation monitoring costs incurred.)

If Respondent is unable to submit costs for any month, he shall be required, instead, to submit an explanation of why he is unable to submit the costs, and the date(s) he will be able to submit the costs, including payment amount(s). Supporting documentation and evidence of why the Respondent is unable to make such payment(s) must accompany this submission.

Respondent understands that failure to submit costs timely is a violation of probation and submission of evidence demonstrating financial hardship does not preclude the Board from pursuing further disciplinary action. However, Respondent understands that by providing evidence and supporting documentation of financial hardship it may delay further disciplinary action.

In addition to any other disciplinary action taken by the Board, an unrestricted license will not be issued at the end of the probationary period and the optometrist license will not be renewed, until such time as all probation monitoring costs have been paid. The filing of bankruptcy by the Respondent shall not relieve the Respondent of his responsibility to reimburse the Board for costs incurred.

Board agreement: Probation monitoring costs are \$100 per month.

5. Function as an Optometrist – Respondent shall function as an optometrist for a minimum of 24 hours per week for the entire term of his probation period.

Respondent may substitute successful completion of a minimum of thirty (30) additional continuing education hours, beyond that which is required for license renewal, for each 8 months of employment required. Respondent shall submit proof to the Board of successful completion of all continuing education requirements. Respondent is responsible for paying all

costs associated with fulfilling this term and condition of probation.

Board agreement: Board agreed to change this to 40 hours per month during probation period.

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 6. Notice to Employer – Respondent shall provide to the board the names, physical addresses, mailing addresses, and telephone number of all employers and supervisors and shall give specific, written consent that the licensee authorizes the board and the employers and supervisors to communicate regarding the licensee's work status, performance and monitoring.

Respondent shall be required to inform his employer, and each subsequent employer during the probation period, of the discipline imposed by this decision by providing his supervisor and director and all subsequent supervisors and directors with a copy of the decision and order, and the Statement of Issues in this matter prior to the beginning of or returning to employment or within 14 days from each change in a supervisor or director.

The employer will then inform the Board, in writing, that he/she is aware of the discipline, on forms to be provided to the Respondent. Respondent is responsible for contacting the Board to obtain additional forms if needed. All reports completed by the employer must be submitted from the employer directly to the Board.

Board agreement: In light of Respondent's completion of drug rehabilitation program, this condition is limited to employers for whom Respondent works 24 hours a week or more. In order for this condition to become effective, Respondent must provide a Certificate of Completion or a letter from a program on their letterhead with an original signature (no copies).

7. Notice to Patients – During the period of probation, Respondent shall post a notice in a prominent place in his office that is conspicuous and readable to the public. The notice shall state the Respondent's Optometrist license is on probation and shall contain the telephone number of the State Board of Optometry. Respondent shall also post a notice

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containing this information prominently on any website related to his practice of Optometry.

The above-described notices shall be approved by the board within 30 days of the effective date of this decision.

8. Changes of Employment or Residence – Respondent shall notify the Board, and appointed probation monitor, in writing, of any and all changes of employment, location, and address within 14 days of such change. This includes but is not limited to applying for employment, termination or resignation from employment, change in employment status, and change in supervisors, administrators or directors.

Respondent shall also notify his probation monitor AND the Board IN WRITING of any changes of residence or mailing address within 14 days. P.O. boxes are accepted for mailing purposes; however the Respondent must also provide his physical residence address as well.

9. Cost Recovery – Respondent shall pay to the Board a sum not to exceed the costs of the investigation and prosecution of this case. That sum shall be \$5,700.00 and shall be paid in full directly to the Board, in a Board approved payment plan, within 6 months from the end of the probation term. Cost recovery will not be tolled.

If Respondent is unable to submit costs timely, he shall be required instead to submit an explanation of why he is unable to submit these costs in part or in entirety, and the date(s) he will be able to submit the costs, including payment amount(s). Supporting documentation and evidence of why the Respondent is unable to make such payment(s) must accompany this submission.

Respondent understands that failure to submit costs timely is a violation of probation and submission of evidence demonstrating financial hardship does not preclude the Board from pursuing further disciplinary action. However, Respondent understands that by providing evidence and supporting documentation of financial hardship may delay further disciplinary

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Consideration to financial hardship will not be given should Respondent violate this term and condition, unless an unexpected AND unavoidable hardship is established from the date of this order to the date payment(s) is due. The filing of bankruptcy by the Respondent shall not relieve the Respondent of his responsibility to reimburse the Board for these costs.

10. Take and Pass Licensure Examination(s) – (A) Respondent shall take and pass the licensure exam(s) currently required of new applicants for the license possessed by Respondent. Respondent shall not practice until such time as respondent has taken and passed these examinations. Respondent shall pay the established examination fees. If respondent has not taken and passed the examination within twelve months from the effective date of this decision, respondent shall be considered to be in violation of probation.

Respondent shall take and pass the California Laws and Regulations Examination (CLRE).

Respondent shall pay the established examination fees. If respondent has not taken and passed the examination within twelve months from the effective date of this decision, respondent shall be considered to be in violation of probation.

Board agreement; Respondent will only have to take the California Laws and Regulations Examination (CLRE).

- 11. Community Service Within 30 days of the effective date of this decision,
  Respondent shall submit to the Board, for its prior approval, a community service program in
  which respondent provides free professional services on a regular basis to a community of
  charitable facility or agency, amounting to a minimum of 8 hours per month of probation. Such
  services shall begin and end within the time period as designated by the Board.
- 12. Valid License Status Respondent shall maintain a current, active and valid license for the length of the probation period. Failure to pay all fees and meet CE requirements

prior to his license expiration date shall constitute a violation of probation.

Tolling for Out-of-State Residence or Practice – Periods of residency or practice outside California, whether the periods of residency or practice are temporary or permanent, will toll the probation period but will not toll the cost recovery requirement, nor the probation monitoring costs incurred. Travel out of California for more than 30 days must be reported to the Board in writing prior to departure. Respondent shall notify the Board, in writing, within 14 days, upon his return to California and prior to the commencement of any employment where representation as an optometrist is/was provided.

Respondent's license shall be automatically cancelled if Respondent's periods of temporary or permanent residence or practice outside California total two years. However, Respondent's license shall not be cancelled as long as Respondent is residing and practicing in another state of the United States and is on active probation with the licensing authority of that state, in which case the two year period shall begin on the date probation is completed or terminated in that state.

14. License Surrender - During Respondent's term of probation, if he ceases practicing due to retirement, health reasons, or is otherwise unable to satisfy the condition of probation, Respondent may surrender his license to the board. The Board reserves the right to evaluate Respondent's request and exercise its discretion whether to grant the request or to take any other action deemed appropriate and reasonable under the circumstances, without further hearing. Upon formal acceptance of the tendered license and wall certificate, Respondent will no longer be subject to the conditions of probation. All costs incurred (i.e., Cost Recovery and Probation Monitoring) are due upon reinstatement.

Surrender of Respondent's license shall be considered a disciplinary action and shall become a part of Respondent's license history with the Board.

- 15. Violation of Probation If Respondent violates any term of the probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If a petition to revoke probation is filed against Respondent during probation, the Board shall have continuing jurisdiction and the period of probation shall be extended until the matter is final. No petition for modification of penalty shall be considered while there is an accusation or petition to revoke probation or other penalty pending against Respondent.
- Completion of Probation Upon successful completion of probation,
   Respondent's license shall be fully restored.

Board agreement: Probation will be for 3 years. Respondent can petition for early termination of probation after 1 year.

17. Abstention from Use of Mood Altering Substances – Respondent shall completely abstain from the possession or use of alcohol, any and all other mood altering drugs, substances and their associated paraphernalia, except when the drugs are lawfully prescribed by a licensed practitioner as part of a documented medical treatment.

Respondent shall execute a release authorizing the release of pharmacy and prescribing records as well as physical and mental health medical records. Respondent shall also provide information of treating physicians, counselors or any other treating professional as requested by the Board.

Respondent shall ensure that he is not in the presence of or in the same physical location as individuals, who are using illegal substances, even if Respondent is not personally ingesting the drug(s). Any positive result that registers over the established laboratory cutoff level shall constitute a violation of probation and shall result in the filing of an accusation and/or a petition to revoke probation against Respondent's optometrist license. Respondent also

understands and agrees that any positive result that registers over the established laboratory cutoff level shall be reported to each of Respondent's employers.

Board agreement: Respondent must abstain from ALL substances - alcohol and drugs.

18. Biological Fluid Testing — Respondent, at his expense, shall participate in random testing, including but not limited to biological fluid testing (i.e., urine, blood, saliva), breathalyzer, hair follicle testing, or any drug screening program approved by the Board. The length of time shall be for the entire probation period. The Respondent will be randomly drug tested at least 104 times during the first year of probation, and at any time as directed by the Board. After the first year, licensees who are practicing shall be randomly drug tested at least 50 times per year, and at any time as directed by the Board.

Board agreement: The number of times will not exceed 100 in the first year and must be random testing.

Respondent shall be required to make daily contact to determine if he is required to submit a specimen for testing, including weekends and holidays, at a lab approved by the Board.

Board representatives may also appear unannounced, at any time to collect a specimen. All collections will be observed.

At all times Respondent shall fully cooperate with the Board or any of its representatives, and shall, when directed, appear for testing as requested and submit to such tests and samples for the detection of alcohol, narcotics, hypnotic, dangerous drugs or other controlled substances. All alternative testing sites, due to vacation or travel outside of California, must be approved by the Board prior to the vacation or travel.

If Respondent is unable to provide a specimen in a reasonable amount of time from the request, Respondent understands that, while at the work site, any Board representative may request from the supervisor, manager or director on duty to observe Respondent in a manner

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that does not interrupt or jeopardize patient care in any manner until such time Respondent provides a specimen acceptable to the Board.

If Respondent tests positive for a prohibited substance per his probationary order,

Respondent's license shall be automatically suspended. The Board will contact the Respondent and his employers, supervisors, managers, work site monitors, and contractors and notify them that Respondent's license has been suspended as a result of a positive test. Thereafter, the Board may contact the specimen collector, laboratory, Respondent, treating physician, treatment provider and support group facilitators to determine whether the positive test is in fact evidence of prohibited use. If the Board determines the positive test is not evidence of prohibited use, the Board shall immediately reactivate the license and inform the Respondent and others previously contacted, that the license is no longer suspended.

Failure to submit to testing on the day requested, or appear as requested by any Board representative for testing, as directed, shall constitute a violation of probation and shall result in the filing of an accusation and/or a petition to revoke probation against Respondent's optometrist license.

19. Participate in Group Support Meetings – Respondent shall attend at least one (1), but no more than five (5), 12-step recovery meetings or equivalent (e.g., Narcotics Anonymous, Alcoholics Anonymous, etc.) during each week of probation, as approved or directed by the Board. Respondent shall submit dated and signed documentation confirming such attendance to the Board during the entire period of probation.

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## SPECIALTY CONDITIONS

(This condition is dependent upon the violation committed)

Alcohol And Drog Treatment - Respondent, at his expense shall successfully complete a treatment regime at a recognized and established program in California of at least six months duration and approved by the Board. The treatment program shall be successfully completed within the first nine months of probation. The program director, psychiatrist or psychologist shall confirm that Respondent has complied with the requirement of this decision and shall notify the Board immediately if be/she believes the Respondent cannot safely practice. Respondent shall execute a release authorizing divulgence of this information to the Board.

Respondent shall inform the program director, psychiatrist or psychologist of his probationary status with the Board, and shall cause that individual to submit monthly reports to the Board providing information concerning Respondent's progress and progress. Such reports shall include results of biological fluid testing.

Positive results shall be reported immediately to the Board and shall be used in administrative discipline.

#### ACCEPTANCE

I have carefully read the Stipulated Settlement and Disciplinary Order. I understand the stipulation and the effect it will have on my Optometry Certificate of Registration. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board.

BRENT LEE GIBSON

Respondent.

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STIPULATED SETTLEMENT (CC-2009-125)

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2	ENDORSEMENT	
3	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully	
4	submitted for consideration by the Board	
	Button 101 Obligation of the Bould	
<i>ა</i> ნ	Dated: March 11, 2011 Respectfully submitted,	
7	KAMALA D. HARRIS	
8	Attorney General of California GLORIA A. BARRIOS Supervising Deputy Attorney General	
	Super Vising Deputy Automety General	
9	1 Marie Mari	
10	ALMUSTON M. EDWARDS  [Jeputy Attorney General  Attorneys for Complainant	
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·	STIPLI ATED SETTY PMRNT (CC-2009-125)	

Exhibit A

Accusation No. CC-2009-125

Accusation

1. EDMUND G. BROWN JR. Attorney General of California
GLORIA A. BARRIOS
Supervising Deputy Attorney General
LANGSTON M. EDWARDS Deputy Attorney General
State Bar No. 237926
300 So. Spring Street, Suite 1702
Los Angeles, CA 90013
Telephone: (213) 620-6343
Facsimile: (213) 897-2804 5 Attorneys for Complainant BEFORE THE 8 STATE BOARD OF OPTOMETRY DEPARTMENT OF CONSUMER AFFAIRS . 9 STATE OF CALIFORNIA 10 Case No. CC-2009-125 In the Matter of the Accusation Against: 11 BRENT LEE GIBSON 21616 Califa Street, Unit 308 Woodland Hills, CA 91367 12 ACCUSATION 13 Optometry Certificate of Registration No. 10198 14 Respondent. 15 16 17 18 Complainant alleges: 19 **PARTIES** Mona Maggio (Complainant) brings this Accusation solely in her official capacity as 20 the Executive Officer of the Board of Optometry. 21 On or about September 16, 1993, the State Board of Optometry ("Board") issued 22 Optometry Certificate of Registration Number 10198 to Brent Lee Gibson ("Respondent"). The 23 Optometry Certificate of Registration was in full force and effect at all times relevant to the 24 charges brought herein and will expire on October 31, 2011, unless renewed. 25 26 27 28

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#### JURISDICTION

3. This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.

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## STATUTORY PROVISIONS

- 4. Section 118, subdivision (b), of the Code provides that the suspension, expiration, surrender or cancellation of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary action during the period within which the license may be renewed, restored, reissued or reinstated.
  - 5. Section 490 states, in pertinent part:

"A board may suspend or revoke a license on the ground that the licensee has been convicted of a crime, if the crime is substantially related to the qualifications, functions, or duties of the business or profession for which the license was issued. A conviction within the meaning of this section means a plea or verdict of guilty or a conviction following a plea of nolo contendere."

Section 493 of the Code states:

"Notwithstanding any other provision of law, in a proceeding conducted by a board within the department pursuant to law to ... suspend or revoke a license or otherwise take disciplinary action against a person who holds a license, upon the ground that ... the licensee has been convicted of a crime substantially related to the qualifications, functions, and duties of the licensee in question, the record of conviction of the crime shall be conclusive evidence of the fact that the conviction occurred, but only of that fact, and the board may inquire into the circumstances surrounding the commission of the crime in order to fix the degree of discipline or to determine if the conviction is substantially related to the qualifications, functions, and duties of the licensee in question."

Section 3090 states:

"Except as otherwise provided by law, the board may take action against all persons guilty of violating this chapter or any of the regulations adopted by the board. The board shall enforce

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and administer this article as to license holders, and the board shall have all the powers granted in this chapter for these purposes, including, but not limited to, investigating complaints from the public, other licensees, health care facilities, other licensing agencies, or any other source suggesting that an optometrist may be guilty of violating this chapter or any of the regulations adopted by the board."

S. Section 3110 states:

"The Board may take action against any licensee who is charged with unprofessional conduct ... In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- (k) Conviction of a felony or of any offense substantially related to the qualifications, functions, or duties of an optometrist, in which event the record of the conviction shall be conclusive evidence thereof.
- (1) Administering to himself or herself any controlled substance or using any of the dangerous drugs specified in Section 4022 ... in a manner, as to be dangerous or injurious to the person ... holding a license under this chapter, or to any other person, or to the public, or, to the extent that the use impairs the ability of the person ... holding a license to conduct with safety to the public the practice authorized by the license."

# REGULATORY PROVISIONS

9. California Code of Regulations, title 16, section 1517 states:

"For the purpose of denial, suspension, or revocation of the certificate of registration of an optometrist pursuant to Division 1.5 (commencing with Section 475) of the Code, a crime or act shall be considered to be substantially related to the qualifications, functions, and duties of an optometrist if to a substantial degree it evidences present or potential unfitness of an optometrist to perform the functions authorized by his/her certificate of registration in a manner consistent with the public health, safety, or welfare..."

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#### CONTROLLED SUBSTANCES

10. "Cocaine," is a Schedule II controlled substance as designated by Health and Safety Code section 11055, subdivision (b)(1)(6) and is categorized as a dangerous drug pursuant to Business and Professions Code section 4022.

#### FIRST CAUSE FOR DISCIPLINE

## (Criminal Convictions)

- 11. Respondent is subject to disciplinary action under section 490 and section 3110, subdivision (k), in that Respondent has been convicted of crimes substantially related to the qualifications, functions or duties of a licensed optometrist as follows:
- a. On or about April 13, 2007, after pleading noto contenders, Respondent was convicted of one misdemeanor count of violating Pen. Code section 484, subdivision (a) [theft], in the criminal proceeding entitled *The People of the State of California v. Brent Lee Gibson* (Super. Ct. Los Angeles County, 2007, No. PA058241). The Court sentenced Respondent to 2 days in jail and placed him on probation for a period of 36 months with certain terms and conditions. The circumstances surrounding the conviction are that on or about January 15, 2007 at approximately 10:55 a.m., while working at his place of employment, Respondent was observed taking an electric shaver from the store shelf and not returning it. Respondent did not pay for the electric shaver.

#### SECOND CAUSE FOR DISCIPLINE

(Unprofessional Conduct/Dangerous Use of Controlled Substances - Crack Cocaine)

12. Respondent is subject to disciplinary action under section 3110, subdivision (I) in that Respondent committed acts constituting unprofessional conduct by using controlled substances to an extent or in a manner dangerous to herself, other persons, or the public or to the extent that such use of controlled substances impaired his ability to conduct with safety to the public the practice authorized by his license as follows:

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- a. The circumstances surrounding the conduct are that on or around January 22, 2007 while in his office at his place of employment, Respondent was observed on closed circuit television smoking rock cocaine ("crack cocaine"). When officers from the Los Angeles Police Department entered the Respondent's office, Respondent ducked behind his computer and attempted to conceal both the crack pipe (in his hand) as well as the crack cocaine itself (in his mouth). While taking the Respondent into custody, one officer noticed an "off white" substance on the floor, later identified as "cocaine."
- b. The Respondent was charged with one felony count of violating Health & Safety code section 11350, subdivision (a) [possession of a controlled substance], in the criminal proceeding entitled *The People of the State of California v. Brent Lee Gibson* (Super. Ct. Los Angeles County, 2007, No. PA058241). The Respondent received a deferred entry of judgment for 36 months, subject to terms and conditions, was ordered to pay \$440.00 in fines, fees and penalties and to enroll in a drug education program.
- c. Respondent admitted smoking crack cocaine on multiple occasions at various times during the period of his licensure.

#### COST RECOVERY

13. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

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PRAYER 1 WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, 2 and that following the hearing, the Board issue a decision: Revoking or suspending Optometry Certificate of Registration Number 10198, issued to Brent Lee Gibson. Ordering Brent Lee Gibson to pay the reasonable costs of the investigation and б enforcement of this case, pursuant to Business and Professions Code section 125.3; Taking such other and further action as deemed necessary and proper. 8 9 10 DATED: 11 Executive Officer 12 Board of Optometry State of California 13 Complainant .14 LA2010502524 15 accusation.rtf 16 17 18 19 20 21 .22 23 24 25 26 27 28

Exhibit B

Application for Statement of Licensure

-87ATEXNO CONSUMER BERVIOES AGENCY

-GOVERNOR EDMUND G. BROWN JR



\$7ATE BOARD OF OPTOMETRY 242D DEL PASO ROAD, SUITE 255, SACRAMENTO, CA 95834 P (916) 575-7170 F (916) 575-7292 www.optometry.ca.gov



## OPTOMETRY

# APPLICATION FOR STATEMENT OF LICENSURE

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Instructions: This application is to be completed by optometrists who are employed or contracted FEE: \$40.00 to practice optometry at a location that is not their principal place of practice. An optometrist's principal place of practice is the practice location to which the optometrist (OPT) license is issued. The Statement of Licensure must be conspicuously posted in the practice location to which it was issued. All applications and forms are available at the Board's website www.optometry.dca.ca.gov or upon request from the Board office.

Authority: Business and Professions (B&P) Code Section 3070 requires licensed optometrists to notify the Board of every location at which they practice or intend to practice optometry on a regular basis. B&P Code Section 3075 requires that optometrists post in each location where he or she practices optometry, in an area that is likely to be seen by all patients who use the office, his or her current license or other evidence of current license status issued by the board. California Code of Regulations Section 1506 further requires that where a licensee does not own a practice but practices optometry in a single office as an employee or a contractor, that office shall be his/her principal place of practice and where a licensee does not own a practice, singly or jointly with any others, but practices in two or more offices as an employee or a contractor, he/she shall inform the Board in writing of such offices and shall have a statement of licensure issued by the Board and conspicuously posted in each of such additional offices wherein he/she practices optometry as an employee, provided that: (1) He/she shall first apply for a statement of licensure for the exact location of the office wherein it is to be posted in lieu of his/her certificate of licensure; (2) He/she shall not post a statement of licensure in any office other than that as authorized by such statement of licensure and; (3) A statement of licensure shall not be altered or assigned.

A statement of licensure is to be immediately surrendered to the Board by the licenses to whom it is issued upon the occurrence of any of the following: (1) His/her certificate becomes expired, is suspended or is revoked; (2) His/Her employer terminates ownership of the practice or his/her employment to practice optometry in the office wherein he/she is authorized by a statement of licensure and; (3) The office wherein he/she is authorized by a statement of licensure becomes the only office wherein he/she is simployed to practice optometry.

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2. Practice Location to be Listed on Statemen	it of Licensure:	V-1			The state of the s
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(Number and Street)	(City)	(	State)	(Zip Code)	(Telephone #)
3. Employer(s) Information for Statement of	Licensure Loca	tion:		•	
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4. Applicant's Principal Place of Practice:	Ž.	1346	Ç. :		
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